



## PATENT APPLICATION

Sheet 1 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO. 200209323-1	APPLICATION NO. 10/768,412	CONFIRMATION NO. 6968
APPLICANT Charles R. Steinmetz et al		
FILING DATE Jan 29, 2004	GROUP	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
W	1A	5,146,243	9/1992	English	
	1B	5,600,358	2/1997	Baldwin	
	1C	5,956,054	9/1999	Hirabayashi	
	1D	6,086,193	7/2000	Shimada	
	1E	6,231,173	5/2001	Pawlowski Jr	
	1F	6,276,788	8/2001	Hilton	
	1G	6,286,944	9/2001	Shimizu	
	1H	6,286,945	9/2001	Higuma	
	1I	6,494,568	12/2002	Hou	
✓	1J	6,273,562	8/14/2001	Deshmukh	
	1K	4,053,902	10/11/1977	Skafvenstedt	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
✓ W	1L	EP0562717	9/29/1993	Canon KK		
	1M	JP04187448	6/7/1992	Seiko Epson		
✓	1N	EP0803364	10/29/1997	Canon KK		
✓	1O	JP03016738	1/24/1991	NEC Corp		
✓	1P	EP0803365	10/29/1997	Pelikan Produktions		

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	

EXAMINER <i>MR</i>	DATE CONSIDERED <i>10/20/05</i>
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## PATENT APPLICATION

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FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		ATTY. DOCKET NO. <b>200209323-1</b>	APPLICATION NO. <b>10/768,412</b>	CONFIRMATION NO. <b>6968</b>
		APPLICANT <b>Charles R. Steinmetz et al</b>		
		FILING DATE <b>Jan 29, 2004</b>	GROUP	

## REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
JM	2A 4,419,678	12/6/1983	Kasugayama	
	2B 4,551,734	11/5/1985	Causley	
	2C 4,700,202	10/13/1987	Kuranishi	
	2D 4,967,207	10/30/1990	Ruder	
	2E 5,523,780	6/4/1996	Hirosawa	
	2F 5,541,631	7/30/1996	Hashimoto	
	2G 6,048,055	4/11/2000	Hakkaku	
	2H 6,102,535	8/15/2000	Papenfuhs	
	2I 6,264,314	7/24/2001	Mochizuki	
	2J 6,286,921	9/11/2001	Ochi	
	2K 6,293,662	9/25/2001	Shihoh	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER <i>Yma</i>	DATE CONSIDERED <i>10/20/05</i>
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## PATENT APPLICATION

Sheet 3 of 3

FORM PTO-1449		ATTY. DOCKET NO. 200209323-1	APPLICATION NO. 10/768,412	CONFIRMATION NO. 6968
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT Charles R. Steinmetz et al		
(Use several sheets if necessary)		FILING DATE Jan 29, 2004	GROUP	

## REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
W	3A	6,443,567	9/3/2002	Hayashi	
	3B	6,582,068	6/24/2003	Ishizawa	
	3C	6,585,362	7/1/2003	Blease	
	3D	6,648,460	11/18/2003	Gonzales	
	3E	6,666,542	12/23/2003	Yoshiyama	
	3F				
	3G				
	3H				
	3I				
	3J				
	3K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	3L					
	3M					
	3N					
	3O					
	3P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	3Q	
	3R	
	3S	

EXAMINER <i>R. Mal</i>	DATE CONSIDERED <i>1/20/07</i>
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PTO/SB/08A (08-03)

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

<b>Complete if Known</b>	
Application Number	10/768412
Filing Date	Jan 29, 2004
First Named Inventor	Charles Steinmetz
Art Unit	
Examiner Name	Unassigned
Attorney Docket Number	200209323-1

**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document
		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known)	MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
EN	EP 1 201 441		05-02-2002	Hewlett Packard
EN	EP 0 803 364		10-29-1997	Canon KK

**EXAMINER** *[Signature]* **Date Considered** *10/26/05*  
Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language

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